Equivariant Neural Tangent Kernels

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Joint work with



Jan Gerken (Chalmers)



Pan Kessel (Prescient Design, Switzerland) The Neural Tangent Kernel
 NTK of Equivariant NNs
 Concrete Examples
 Data Augmentation vs GCN



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(Jacot, Gabriel, and Hongler 2018)

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$$rac{\mathrm{d}\mathcal{N}}{\mathrm{d}t}(\mathbf{x}) = -\eta\sum_{i=1}^{n_{ ext{train}}}\Theta_t(\mathbf{x},\mathbf{x}_i)rac{\partial\mathcal{L}}{\partial\mathcal{N}(\mathbf{x}_i)}$$

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$$\Theta_t(\mathbf{x}, \mathbf{x}') = \sum_{\mu} \frac{\partial \mathcal{N}(\mathbf{x})}{\partial \theta_{\mu}} \left(\frac{\partial \mathcal{N}(\mathbf{x}')}{\partial \theta_{\mu}} \right)^{\mathsf{T}}$$

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 Simple ODE

In case of MLE loss, **closed-form solution** of the **mean**

$$\mu(\mathbf{x}) = \Theta(\mathbf{x}, \mathcal{X}) \Theta(\mathcal{X}, \mathcal{X})^{-1} \mathcal{Y} \qquad ext{as } t o \infty$$

at ∞ width.

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$$\Theta_t \xrightarrow[\text{layer width}]{\text{layer width}} \Theta = \mathbb{E}[\Theta_t] \qquad \Longrightarrow \qquad \text{Simple ODE}$$

In case of MLE loss, closed-form solution of the mean

$$\begin{array}{l} \text{Training inputs} \\ \downarrow \\ \mu(\mathbf{x}) = \Theta(\mathbf{x}, \mathcal{X}) \Theta(\mathcal{X}, \mathcal{X})^{-1} \mathcal{Y} \\ \end{array} \text{ as } t \to \infty$$

at ∞ width.

• Useful correspondence

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analytically well-understood! ↓ Neural Network ← → Kernel Method

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Neural Network \longleftrightarrow Kernel Method

• Non-linear kernel method inspired by empirical insights from NNs (Arora et al. 2020)

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- Spectral bias inside and outside the training set (Bowman and Montufar 2022)
- Tool for dataset distillation (Nguyen, Chen, and Lee 2021)

How is the NTK computed in practice?

Convenient: Neural Network Gaussian Process Kernel (NNGP)

$$K^{(\ell)}(\mathbf{x},\mathbf{x}') = \mathbb{E}\left[\mathcal{N}^{(\ell)}(\mathbf{x})\left(\mathcal{N}^{(\ell)}(\mathbf{x}')\right)^{\mathsf{T}}
ight]$$

Convenient: Neural Network Gaussian Process Kernel (NNGP)

$$\ell^{ ext{th}}$$
 layer neurons \mathcal{V} $K^{(\ell)}(x,x') = \mathbb{E}\left[\mathcal{N}^{(\ell)}(x)\left(\mathcal{N}^{(\ell)}(x')
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Each NN layer then corresponds to a particular recursion

$$\begin{split} & K^{(\ell+1)}(\mathbf{x}, \mathbf{x}') = A^{(\ell)}(K^{(\ell)}(\mathbf{x}, \mathbf{x}')), \\ & \Theta^{(\ell+1)}(\mathbf{x}, \mathbf{x}') = B^{(\ell)}(\Theta^{(\ell)}(\mathbf{x}, \mathbf{x}'), K^{(\ell+1)}(\mathbf{x}, \mathbf{x}')) \end{split}$$
How is the NTK computed in practice? \rightarrow layer by layer! (Jacot, Gabriel, and Hongler 2018)

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$$\begin{split} & \overset{\text{layer-specific NNGP map}}{K^{(\ell+1)}(x,x')} = \overset{\checkmark}{A^{(\ell)}(K^{(\ell)}(x,x'))}, \\ \Theta^{(\ell+1)}(x,x') = B^{(\ell)}(\Theta^{(\ell)}(x,x'),K^{(\ell+1)}(x,x')) \\ & \overset{\checkmark}{\frown} \text{layer-specific NTK map} \end{split}$$





 \rightarrow Implemented in the neural-tangents library (Novak et al. 2020)

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Convention: We treat nonlinearities σ as individual layers

We cover

Group Convolution

We cover

Group Convolution

Group Pooling

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Group Convolution

Group Pooling

Elementwise Non-linearity

1) The Neural Tangent Kernel

NTK of Equivariant NNs

3 Concrete Examples

Data Augmentation vs GCNNs

Want to enforce **symmetry** w.r.t a group G acting on the input signal f

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$$egin{array}{ccc} f & & & &
ightarrow &
ho_{\mathrm{in}}(g)(f) \ & & & & & \downarrow \mathcal{N} \ & & & & \downarrow \mathcal{N} \ \mathcal{N}(f) & & & &
ho_{\mathrm{out}}(g) &
ho_{\mathrm{out}}(g)[\mathcal{N}(f)] \end{array}$$

 $orall g \in G$

Group convolutional layer

$$[\mathcal{N}^{(\ell+1)}(f)](g) = rac{1}{\sqrt{n_\ell |S_\kappa|}} \int_G \mathrm{d}h \, \kappaig(g^{-1}hig) [\mathcal{N}^{(\ell)}(f)](h)$$



Group pooling

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Elementwise non-linearity σ

$$[\mathcal{N}^{(\ell+1)}(f)](g) = \sigmaig([\mathcal{N}^{(\ell)}(f)](g)ig), \qquad \sigma: \; \mathbb{R} o \mathbb{R} ext{ elementwise}$$

$$\mathbb{E}\left[\sum_{\mu} \frac{\partial [\mathcal{N}^{(\ell)}(f)](g)}{\partial \theta_{\mu}} \left(\frac{\partial [\mathcal{N}^{(\ell)}(f')](g')}{\partial \theta_{\mu}}\right)^{\mathsf{T}}\right]$$

$$\Theta_{m{g},m{g}'}^{(\ell)}(m{f},m{f}') = \mathbb{E}\left[\sum_{\mu} rac{\partial [\mathcal{N}^{(\ell)}(f)](m{g})}{\partial heta_{\mu}} \left(rac{\partial [\mathcal{N}^{(\ell)}(f')](m{g}')}{\partial heta_{\mu}}
ight)^{\mathsf{T}}
ight]$$

Evaluation point in group space

$$\Theta_{\boldsymbol{g},\boldsymbol{g}'}^{(\ell)}(\boldsymbol{f},\boldsymbol{f}') = \mathbb{E}\left[\sum_{\mu} rac{\partial [\mathcal{N}^{(\ell)}(f)](\boldsymbol{g})}{\partial heta_{\mu}} \left(rac{\partial [\mathcal{N}^{(\ell)}(f')](\boldsymbol{g}')}{\partial heta_{\mu}}
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Evaluation point in group space

 ∞ -width limit:

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Evaluation point in group space

 $\infty ext{-width limit: # channels} o \infty$

Recursion of the group convolutional layer



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$$K^{(\ell+1)}_{g,g'}(f,f')=rac{1}{\mathrm{vol}(\mathcal{S}_\kappa)}\int_{\mathcal{S}_\kappa}\!\mathrm{d} h\;K^{(\ell)}_{gh,g'h}(f,f')$$

Recursion of the group convolutional layer

$$egin{aligned} &K_{g,g'}^{(\ell+1)}(f,f') = rac{1}{ ext{vol}(S_\kappa)} \int_{S_\kappa} ext{d}h \; K_{gh,g'h}^{(\ell)}(f,f') \ & \Theta_{g,g'}^{(\ell+1)}(f,f') = K_{g,g'}^{(\ell+1)}(f,f') + rac{1}{ ext{vol}(S_\kappa)} \int_{S_\kappa} ext{d}h \; \Theta_{gh,g'h}^{(\ell)}(f,f') \end{aligned}$$

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$$K^{(\ell+1)}(f,f') = rac{1}{\mathrm{vol}(G)} \int_G \mathrm{d}g \ \mathrm{d}g' \ K^{(\ell)}_{g,g'}(f,f')$$

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- Obtain equivariant Kernel methods
Concrete Examples 3

Explicit expressions for

• roto-translations $G = C_4 \ltimes \mathbb{R}^2$ in the plane

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Provide **implementations** in the neural-tangents library (No-vak et al. 2020)



9 classes of microscopical tissue images (*Kather, Halama*,

and Marx 2018)

CNN vs.



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 $\begin{array}{c} \mathsf{CNN}\\ \mathsf{vs.}\\ \mathcal{C}_4 \ltimes \mathbb{R}^2 \; \mathsf{GCNN} \end{array}$



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Atoms' environments are represented as signals on the sphere (Esteves, Slotine, and

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Training Set Size

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Performance boost due to 3d-rotation invariance extends to the ∞ -width limit

The Neural Tangent Kerne
 NTK of Equivariant NNs

3 Concrete Examples



Can construct a GCNN s.t.

$$\Theta^{ ext{GC}}(f,f') = \ rac{1}{ ext{vol}(G)} \int_{\mathcal{G}} \mathrm{d}g \,\, \Theta^{ ext{MLP}}(f,
ho_{ ext{reg}}(g)f')$$

Can construct a GCNN s.t.

$$\Theta^{\rm GC}(f,f') = \underbrace{\frac{1}{{\rm vol}(G)}\int_{G}\!{\rm d}g\;\Theta^{\rm MLP}(f,\rho_{\rm reg}(g)f')}_{\Box_{\rm f}''}$$

Effective MLP kernel under data augmentation

Can construct a GCNN s.t.

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At ∞ -width and quadratic \mathcal{L} :



mean of an ensemble of data augmented MLPs equals the **mean** of an ensemble of GCNNs **at all training times** *t*.

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Similar result for data augmented CNN $\leftrightarrow \mathcal{C}_4 \ltimes \mathbb{R}^2$ GCNN

Architecture correspondence

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$$FC^{(1)} \longrightarrow \sigma \longrightarrow FC^{(3)} \longrightarrow \sigma \longrightarrow \cdots \longrightarrow FC^{(L)}$$

Lifting $\longrightarrow \sigma \longrightarrow GConv^{(3)} \longrightarrow \sigma \longrightarrow \cdots \longrightarrow GConv^{(L)} \longrightarrow GPool$

All group convolutions with global filter support $S^\ell_\kappa = G$ or $S^1_\kappa = X$ for the lifting layer.

• Data augmented CNN vs $C_4 \ltimes \mathbb{R}^2$ GCNN on **MNIST**

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- Relations to Quantum Field Theory (Banta et al. 2024)
- Trainability and generalization regimes of equivariant NNs (Xiao, Pennington, and Schoenholz 2020)
- equivariant graph NNs

Do you want to know more?



arXiv:2406.06504