# **Equivariant Neural Tangent Kernels**

Connecting data augmentation and equivariant architectures

by Philipp Misof

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### Joint work with



Jan Gerken (Chalmers)



Pan Kessel (Prescient Design, Switzerland)

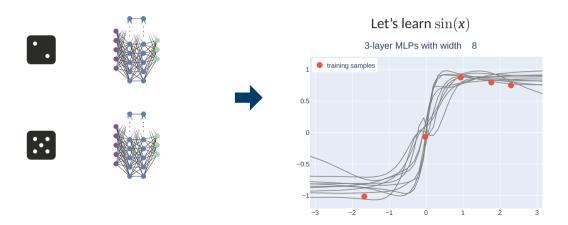
- 1 The Neural Tangent Kernel
- 2 NTK of Equivariant NNs
- 3 Concrete Examples
- 4 Data Augmentation vs GCNNs

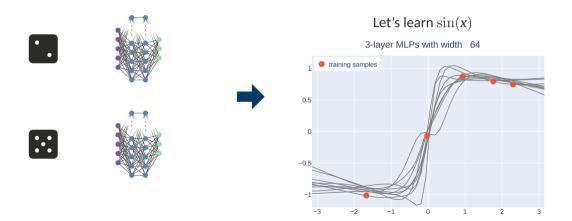


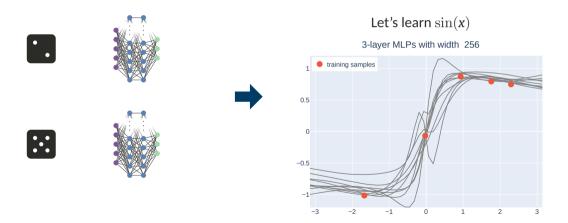


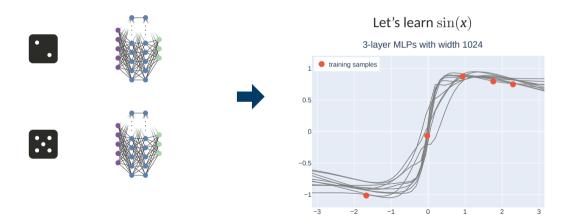


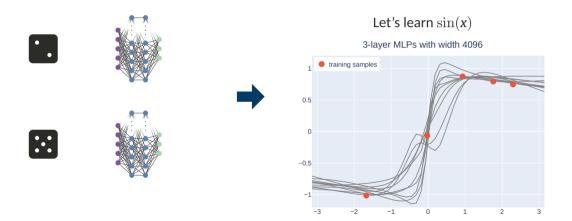


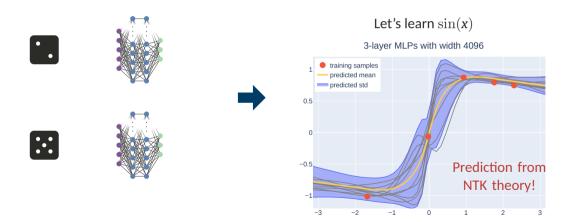












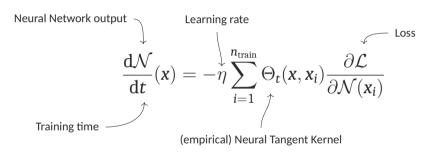
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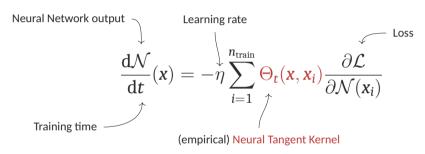
(Jacot, Gabriel, and Hongler 2018)

$$\frac{\mathrm{d}\mathcal{N}}{\mathrm{d}t}(x) = -\eta \sum_{i=1}^{n_{\mathrm{train}}} \Theta_t(x, x_i) \frac{\partial \mathcal{L}}{\partial \mathcal{N}(x_i)}$$

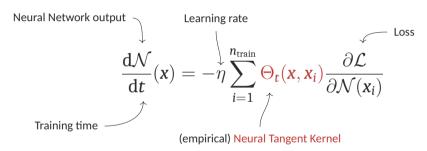
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$$\Theta_{t}(\mathbf{x}, \mathbf{x}') = \sum_{\mu} \frac{\partial \mathcal{N}(\mathbf{x})}{\partial \theta_{\mu}} \left( \frac{\partial \mathcal{N}(\mathbf{x}')}{\partial \theta_{\mu}} \right)^{\mathsf{T}}$$

 $\Theta_t$  is dependent on  $\theta_t$ , which is **stochastic** and **time-dependent** 

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## **Freezing of the NTK**

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(Jacot, Gabriel, and Hongler 2018)

$$\Theta_t \xrightarrow[\text{layer width}]{\text{increasing}} \Theta = \mathbb{E}[\Theta_t]$$

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 Simple ODE

In case of MLE loss, closed-form solution of the mean

$$\mu(\mathbf{x}) = \Theta(\mathbf{x}, \mathcal{X})\Theta(\mathcal{X}, \mathcal{X})^{-1}\mathcal{Y}$$
 as  $t \to \infty$ 

at  $\infty$  width.

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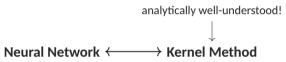
Training inputs 
$$\int\limits_{\mu(x)=\Theta(x,\mathcal{X})\Theta(\mathcal{X},\mathcal{X})^{-1}\mathcal{Y}} \text{Training targets}$$

at  $\infty$  width.

• Useful correspondence

Neural Network ← → Kernel Method

• Useful correspondence

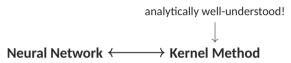


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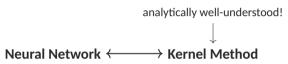
• Non-linear kernel method inspired by empirical insights from NNs (Arora et al. 2020)

Useful correspondence



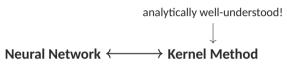
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- Tool for dataset distillation (Nguyen, Chen, and Lee 2021)

→ layer by layer! (Jacot, Gabriel, and Hongler 2018)

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Convenient: Neural Network Gaussian Process Kernel (NNGP)

$$K^{(\ell)}(x,x') = \mathbb{E}\left[\mathcal{N}^{(\ell)}(x)\left(\mathcal{N}^{(\ell)}(x')\right)^{\mathsf{T}}\right]$$

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Each NN layer then corresponds to a particular recursion

$$\begin{split} &K^{(\ell+1)}(x,x') = A^{(\ell)}(K^{(\ell)}(x,x')), \\ &\Theta^{(\ell+1)}(x,x') = B^{(\ell)}(\Theta^{(\ell)}(x,x'),K^{(\ell+1)}(x,x')) \end{split}$$

### How is the NTK computed in practice?

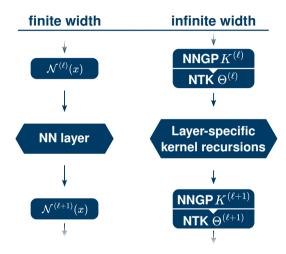
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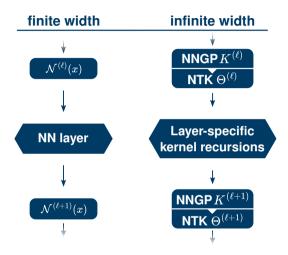
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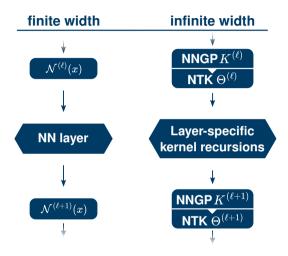
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 layer-specific NTK map





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**Convention:** We treat nonlinearities  $\sigma$  as individual layers

We cover

**Group Convolution** 

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**Group Pooling** 

We cover

**Group Convolution** 

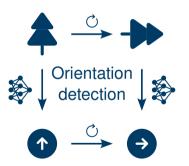
**Group Pooling** 

**Elementwise Non-linearity** 

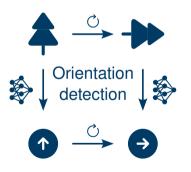
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Want to enforce  $\operatorname{symmetry}$  w.r.t a group G acting on the input  $\operatorname{signal} f$ 

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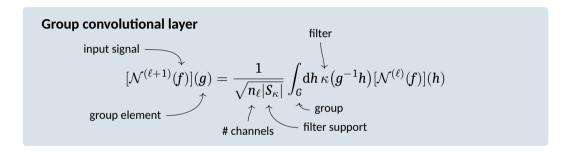
Want to enforce symmetry w.r.t a group G acting on the input signal f



$$egin{aligned} f & \xrightarrow{
ho_{ ext{in}}(g)} 
ho_{ ext{in}}(g)(f) \ & \downarrow_{\mathcal{N}} & \downarrow_{\mathcal{N}} \ & \mathcal{N}(f) & \xrightarrow{
ho_{ ext{out}}(g)} 
ho_{ ext{out}}(g)[\mathcal{N}(f)] \ & orall_{g} \in \mathcal{G} \end{aligned}$$

### **Group convolutional layer**

$$[\mathcal{N}^{(\ell+1)}(f)](g) = rac{1}{\sqrt{n_\ell |S_\kappa|}} \int_G \! \mathrm{d}h \, \kappaig(g^{-1}hig)[\mathcal{N}^{(\ell)}(f)](h)$$



#### **Group pooling**

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#### Elementwise non-linearity $\sigma$

$$[\mathcal{N}^{(\ell+1)}(f)](g) = \sigma([\mathcal{N}^{(\ell)}(f)](g)), \qquad \sigma: \ \mathbb{R} o \mathbb{R} ext{ elementwise}$$

$$\mathbb{E}\left[\sum_{\mu}rac{\partial[\mathcal{N}^{(\ell)}(f)](g)}{\partial heta_{\mu}}\left(rac{\partial[\mathcal{N}^{(\ell)}(f')](g')}{\partial heta_{\mu}}
ight)^{\mathsf{T}}
ight]$$

$$\Theta_{g,g'}^{(\ell)}(f,f') = \mathbb{E}\left[\sum_{\mu} rac{\partial [\mathcal{N}^{(\ell)}(f)](g)}{\partial heta_{\mu}} \left(rac{\partial [\mathcal{N}^{(\ell)}(f')](g')}{\partial heta_{\mu}}
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Evaluation point in group space

$$\Theta_{m{g},m{g'}}^{(\ell)}(f,f') = \mathbb{E}\left[\sum_{\mu} rac{\partial [\mathcal{N}^{(\ell)}(f)](m{g})}{\partial heta_{\mu}} \left(rac{\partial [\mathcal{N}^{(\ell)}(f')](m{g'})}{\partial heta_{\mu}}
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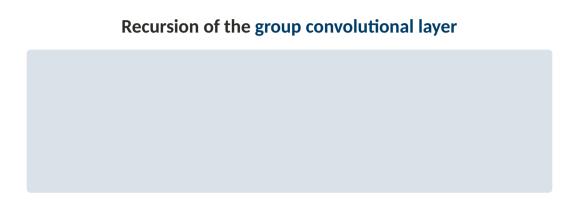
Evaluation point in group space

 $\infty$ -width limit:

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Evaluation point in group space

 $\infty$ -width limit: # channels  $\to \infty$ 



### Recursion of the group convolutional layer

$$K_{g,g'}^{(\ell+1)}(f,f') = rac{1}{ ext{vol}(S_\kappa)} \int_{S_\kappa} ext{d}h \; K_{gh,g'h}^{(\ell)}(f,f')$$

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$$\Theta_{g,g'}^{(\ell+1)}(f,f') = \mathit{K}_{g,g'}^{(\ell+1)}(f,f') + rac{1}{\mathrm{vol}(S_{\kappa})} \int_{S_{\kappa}} \mathrm{d}h \; \Theta_{gh,g'h}^{(\ell)}(f,f')$$



### Recursion of the group pooling layer

$$K^{(\ell+1)}(f,f') = rac{1}{\operatorname{vol}(G)} \int_G \! \mathrm{d}g \; \mathrm{d}g' \; K_{g,g'}^{(\ell)}(f,f')$$

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$$K^{(\ell+1)}(f,f') = rac{1}{\operatorname{vol}(G)} \, \int_G \! \mathrm{d}g \, \mathrm{d}g' \, \, K_{g,g'}^{(\ell)}(f,f')$$

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- Obtain equivariant Kernel methods

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Explicit expressions for

• roto-translations  $G = \mathcal{C}_4 \ltimes \mathbb{R}^2$  in the plane

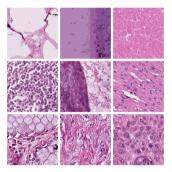
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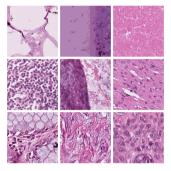
Provide implementations in the neural-tangents library (Novak et al. 2020)



9 classes of microscopical tissue images (Kather, Halama,

and Marx 2018)

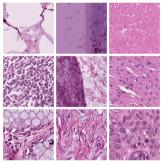
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 $egin{aligned} \mathsf{CNN} & \mathsf{vs.} \ \mathcal{C}_4 \ltimes \mathbb{R}^2 \; \mathsf{GCNN} \end{aligned}$ 

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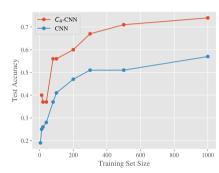
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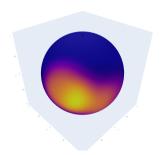


Atoms' environments are represented as signals on the sphere (Esteves, Slotine, and Makadia 2023)



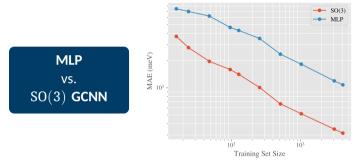
MLP vs. SO(3) GCNN

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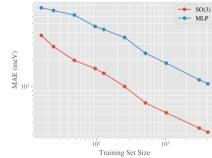
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Performance boost due to 3d-rotation invariance extends to the  $\infty$ -width limit

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# Data Augmentation $\leftrightarrow$ Group Convolutional (GC) NNs

Can construct a GCNN s.t.

$$\Theta^{ ext{GC}}(f,f') = rac{1}{ ext{vol}( extit{G})} \int_{ extit{G}} ext{d}g \; \Theta^{ ext{MLP}}(f,
ho_{ ext{reg}}(g)f')$$

Can construct a GCNN s.t.

$$\Theta^{ ext{GC}}(f,f') = \underbrace{rac{1}{ ext{vol}(G)} \int_G ext{d}g \; \Theta^{ ext{MLP}}(f,
ho_{ ext{reg}}(g)f')}_{ ext{Effective MLP kernel under data augmentation}}$$

Can construct a GCNN s.t.

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At  $\infty$ -width and quadratic  $\mathcal{L}$ :



**mean** of an ensemble of data augmented MLPs equals the **mean** of an ensemble of GCNNs at all training times t.

Can construct a GCNN s.t.

$$\Theta^{ ext{GC}}(f,f') = \underbrace{rac{1}{ ext{vol}(G)}\int_G ext{d}g \; \Theta^{ ext{MLP}}(f,
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Similar result for data augmented CNN  $\leftrightarrow \mathcal{C}_4 \ltimes \mathbb{R}^2$  GCNN

# **Architecture correspondence**

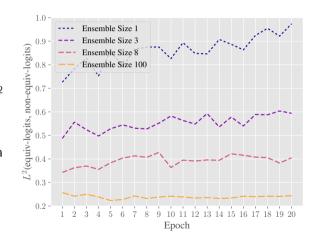
### **Architecture correspondence**

All group convolutions with global filter support  $S^{\ell}_{\kappa}=G$  or  $S^{1}_{\kappa}=X$  for the lifting layer.

• Data augmented CNN vs  $C_4 \ltimes \mathbb{R}^2$ GCNN on **MNIST** 

- Data augmented CNN vs  $C_4 \ltimes \mathbb{R}^2$ GCNN on **MNIST**
- Compare L<sub>2</sub>-difference of mean logits on **out-of-distribution** data

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• Relations to Quantum Field Theory (Banta et al. 2024)

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- equivariant graph NNs

#### Do you want to know more?

